PTO/SB/31 (07-09)

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Under the Paperwork	Reduction Act of 1995, no persons are required to respon	d to a collection			
NOTICE	Docket Number (Optional)				
THE BOARD O		S HYB-005US4			
I hereby certify that this to the USPTO or deposi sufficient postage as firs	In re Application of KANDIMALLA ET AL.				
"Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on		Application Number 10/694,383			Filed 10/27/2003
	For Modulation of immunostimulatory activity of immunostimulatory oligonucleotics analogs by positional chemical changes				
Signature		Art Unit		Examiner	
Typed or printed name		1648		Michelle Horning	
Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.  The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))  \$ \frac{540.00}{}					
Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:  \$\frac{270.00}{2}\$					
A check in the amount of the fee is enclosed.					
Payment by credit card. Form PTO-2038 is attached.					
☐ The Director has already been authorized to charge fees in this application to a Deposit Account.					
The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 502005					
A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.					
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
I am the					
applicant/inventor	applicant/inventor.		/Wayne A. Keown/		
See 37 CFR 3.71	assignee of record of the entire interest.  See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.		Signature Wayne A. Keown		
(Form PTO/SB/96	,		Туре	d or p	rinted name
attorney or agent of record. 33923 Registration number (207) 791-3000					
		Telephone number			
attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34.  02/17/2010					
				Da	ate
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.					

This oblication of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to fee (and by the USPTO to proceed) an application. Confederability is governed by § 8 U.SC. 122 and 47 CFR 11.11. It M and 41.6. This contection is estimated to use the minuse to complete, including gathering proparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the manural of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the firmation Officer. U.S. Pleart and Trademark Office. U.S. Department of the complete of the process of the process

\*Total of 1

forms are submitted.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neodiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Burau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a noutine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.